Documents Needed For Financial Planning

Some or all of the following documents provide information that can be important as we work together to create your financial plan. The material will be treated confidentially and returned when your plan is complete or earlier if requested.

Most Recent Payroll Stub
_____Self
_____Spouse

Income Tax Returns- Previous 2 years
_____Self
_____Spouse
_____Business

Current Financial Statements
_____Personal Net Worth
_____Monthly Expenses
_____Business Balance Sheet

Financial Plan Analysis
_____Existing Plan
_____Existing Needs Analysis

Wills/Trusts
_____Self
_____Spouse

Divorce Decree/Separation
_____Self
_____Spouse

Insurance/Annuity Contracts Statements and in Force Illustrations
_____Life
_____Health
_____Disability
_____Group Insurance
_____Annuities

Loan Agreements and Amortization Schedules
_____Mortgages
_____Other

Savings and Retirement Statements
_____Pension Plan/Profit-Sharing
_____Keogh/SEP
_____401(k) Tax Sheltered
_____Annuity/Employee-Deferred Compensation
_____IRA/ROTH IRA
_____Savings
_____Mutual Fund Accounts
_____Brokerage Accounts

Company Benefit Statements and/or Booklets
_____Self
_____Spouse

Stock Options
_____Statements
_____Vesting Schedules

Business Documents
_____Buy/Sell Agreements
_____Deferred Compensation
_____Wage Continuation
_____Employment Agreement
_____Group Benefits Program
_____Other Employee Paid Benefits

Kautz Financial
Independent Wealth Management